



# IRA Rollover Certification

Account Number \_\_\_\_\_

## Account Owner Information

Name \_\_\_\_\_ D/O/B \_\_\_\_\_  
Address \_\_\_\_\_ SSN \_\_\_\_\_  
City \_\_\_\_\_ State \_\_\_\_\_ ZIP \_\_\_\_\_ Country \_\_\_\_\_  
Day Phone \_\_\_\_\_ Evening Phone \_\_\_\_\_ Email \_\_\_\_\_

## Traditional IRA or SIMPLE IRA Rollover *(For an eligible rollover, all must be answered NO)*

- 1. Timeliness – 60 Days**  
Have more than 60 days elapsed since you received the distribution from the IRA?  YES  NO
- 2. RMD Rollover Restriction**  
Does this rollover contribution contain any required minimum distribution amounts?  YES  NO
- 3. Twelve Month Restriction *(For a rollover to be eligible, both questions must be answered NO)***  
Did you receive any other distributions from the distributing IRA during the past 12 months which you also rolled over?  YES  NO  
Have the assets involved in this transaction been previously rolled over from one IRA to another within the past 12 months?  YES  NO
- 4. SIMPLE IRA Rollover Restrictions**  
If a SIMPLE IRA is being rolled to a Traditional IRA, did you first begin participating in your employer's SIMPLE salary reduction arrangement less than two years ago?  YES  NO

## Roth IRA Rollover *(For an eligible rollover, all must be answered NO)*

- 1. Timeliness – 60 Days**  
Have more than 60 days elapsed since you received the distribution from the Roth IRA?  YES  NO
- 2. Twelve Month Restriction *(For a rollover to be eligible, both questions must be answered NO)***  
Did you receive any other distributions from the distributing Roth IRA during the past 12 months which you also rolled over?  YES  NO  
Have the assets involved in this transaction been previously rolled over from one Roth IRA to another within the past 12 months?  YES  NO

### *Please read and sign the following:*

I am familiar with and understand the rollover rules and conditions. I certify that I have met the requirements for making a rollover. Due to potential tax consequences of rolling over funds or property to an IRA I have been advised to consult a tax professional. All information provided by me is true and accurate and may be relied upon by the Trustee. I assume full and sole responsibility for this rollover and will not hold the Trustee liable for any adverse consequences that may result. I hereby designate this contribution of \$ \_\_\_\_\_ in cash and property as a rollover contribution.

\_\_\_\_\_  
IRA Holder

\_\_\_\_\_  
Date